EL978317396US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Hughes et al.	§
	§ Group Art Unit: Not Assigned
Serial No.: Not Assigned	§
	§ Examiner: Not Assigned
Filed:	§
	§ Attorney Docket No.: AUS920030630US1
For: Method, Apparatus, and Program	§
for Intelligent Volume Control	§
	§

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 09-0447. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 09-0447.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 0-24-03

Respectfully submitted,

Diana L. Roberts

Registration No. 36,654

IBM Corporation

Intellectual Property Law

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Form PTO-1449 LIST OF PRIOR ART CITED BY APPLICANT (Use several sheets if necessary)				ATTORNEY DOCKET NO. AUS920030630US1	SERIAL NO. Not Assigned	SERIAL NO. Not Assigned	
				APPLICANT Hughes et al.			
				FILING DATE	GROUP ART UNI	GROUP ART UNIT Not Assigned	
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EXAMINER INITIAL	DOCUMENT NO.		PUBLICATION DATE	INVENTOR NAME	CLASS/ FILING SUBCLASS DATE		
	AA	5,666,426	Sep. 9, 1997	Helms	381/57	Oct. 17, 1996	
	AB	5,450,494	Sep. 12, 1995	Okubo et al.	381/57	Aug. 4, 1993	
	4.36	* ** * *	FOR	EIGN PATENT DOCUMENTS			
EXAMINER INITIAL		DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO	
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<i>)</i> - 20.		- 31	RELA	TED PATENT APPLICATIONS		,	
EXAMINER INITIAL		APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE		FILING DATE	
DATE CONS	DERE	<u> </u>		EXAMINER			
EXAMINER	t: Init	tial if reference cor	nsidered, whether	er or not citation is in conformance Include copy of this form with next	with MPEP § 609; drav	v line through	